



## Corporate Governance and Risk Management in an Era of Financial Crisis

Programme Code: S100317S

<b>Speaker</b>	<b>Mr. Patrick Rozario</b> Mr. Rozario heads Grant Thornton's Business Risk Services division. He has over 20 years experience working for large international accounting firms and in the commercial sector. Prior to joining Grant Thornton, Mr. Rozario worked with major accounting firms in the area of risk consulting. He has managed various internal audits, corporate governance, Sarbanes-Oxley compliance, internal control, information technology risk assurance advisory engagements for clients across different industries including manufacturing, telecommunication, government, insurance and banking in Hong Kong and China. His experience in the commercial sector includes being a project manager of a number of large scale business process re-engineering and information systems implementation projects in the Asia-Pacific Region and in North America. He was also an internal auditor with one of the largest beverages companies in Canada. He is a graduate of Queen's University in Canada and has been a Certified Information System Auditor since 1993 and a member of the Institute of Internal Auditors.
<b>Date</b>	Wednesday, 17 March 2010
<b>Time</b>	6:30 p.m. – 8:00 p.m.
<b>Venue</b>	Hong Kong Institute of CPAs Training Centre, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
<b>Enrolment fee</b>	HK\$190 for HKICPA member or student; and IA/HKIAAT's member or student HK\$180 for online enrolment HK\$330 for non-member
<b>Language</b>	English
<b>Format</b>	Seminar
<b>Competency Rating</b>	Audit and Assurance* Mastery Level* - Broad, deep and detailed understanding of the knowledge area. Develop expert knowledge in a particular stream. Respond to complex situations intuitively, developing effective answers, straightaway. Appreciate the subtleties in situations. Up-to-date with recent advancements and development.
<b>Target audience</b>	Business leaders; accountants; internal auditors
<b>CPD credit</b>	1.5 hours

### About the programme

The recent financial crisis can be attributed to failures and weaknesses in corporate governance arrangements which did not serve to safeguard against excessive risk taking in both financial service and non-financial businesses. An effective internal control system is critical to mitigating such risk if it can manage and control risk through regular and thorough evaluation of the nature and extent of the risks to which businesses are exposed. As a business leader, you strive to protect, control and maintain your business while increasing your levels of accountability. While the current business climate is putting pressure on companies to eliminate redundancies and streamline their processes in order to remain competitive, it remains important to enhance the understanding of the revaluations of financial instruments and maximise the monitoring aspect of internal control.

Topics include:-

- Causes of the current financial crisis
- Impact of fair value accounting in valuing financial instruments
- Risks exposure of financial instruments – financial risks, credit risk etc
- Measuring financial risks
  - Corporate governance
  - Risk management
  - Internal controls and internal audit

\* Please refer [here](#) for descriptions of other competencies and ratings.

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**Deadline: 7 working days before the date of the programme**

No.	Membership		Full Name of Participant(s) (Block Letters)	Company	CT System <sup>(2)</sup>	Programme Code	Fee (HK\$)
	No.	Status <sup>(1)</sup>					
1							
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						Total (HK\$)	

I am unemployed and planning to rejoin the workforce.

The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.

The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : \_\_\_\_\_ Tel No. : \_\_\_\_\_ Fax No. : \_\_\_\_\_ E-mail : \_\_\_\_\_

**Payment Method** (Please tick the appropriate box)

<input type="checkbox"/> Cheque (payable to "Hong Kong Institute of Certified Public Accountants")	
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- Notes:
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
  - Please put a "✓" in this column to indicate you wish to register by Computerized Ticketing System. Instead of a ticket you will receive a confirmation receipt by post. Details of the system can be found at <http://www.hkicpa.org.hk/membership/cpd/ticket.php>.
  - All applications are on a first-come-first-served basis. In the event of over-subscription, priority will be given to members and students of HKICPA.
  - Application by fax will ONLY be accepted when payment is made by Visa/Master credit card. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
  - Cheque(s) should be made payable to "Hong Kong Institute of Certified Public Accountants". Please issue SEPARATE CHEQUE for each event.
  - Successful applicants will receive admission ticket or confirmation notice (for those who opt for Computerised Ticketing System) at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
  - NO REFUND/CANCELLATION will be entertained after the application is processed. If you are unable to attend the enrolled programme, you may nominate a substitute to take your place, provided that the Institute is notified in writing at least two working days prior to the event date.
  - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
  - The Institute reserves the right to change the venue, date or speaker of the event due to unforeseen circumstances.
  - All personal data collected from the enrolment process, and administration of the CPD Programmes will only be used for the purpose of the administration of the course on which members are enrolled.

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